

PAUL A. LIEBERMAN, JD



Paul A. Lieberman serves as Associate Director, Litigation at MarketCounsel® and as Managing Counsel at the Hamburger Law Firm, an affiliated boutique law firm providing the securities industry with unparalleled legal counsel. At MarketCounsel he is primarily engaged in regulatory and business counseling to financial advisers.

Mr. Lieberman, a former general counsel and member of senior management with over 30 years of experience, has a unique combination of securities industry expertise supporting institutional and retail firms involving all products and services. He draws on his extensive background, including positions at Merrill Lynch, Citicorp/Citibank, the New York Stock Exchange and the U.S. Securities and Exchange Commission. He has served as legal counsel for broker-dealers and investment advisors, and has overseen sales and trading divisions, executive management, internal audit and controls, and operation and compliance departments.

Mr. Lieberman brings to MarketCounsel a wealth of practical experience within the securities industry, on the regulatory and industry sides of the aisle, and significant expertise in the compliance and regulatory arena. Over the course of his career, Mr. Lieberman has demonstrated his ability to successfully adapt compliance and supervisory policies to the demands of changing regulatory environments and implement remediation strategies that successfully reduce firms' risk utilizing a project management orientation. He has also achieved a stellar record of success representing firms, executives and registered representatives in arbitrations, reparations, mediations, and SRO administrative proceedings.

In addition to extensive service with several first-tier global investment firms, Mr. Lieberman was a former staff member of the Securities and Exchange Commission Division of Enforcement and Special Counsel at the New York Stock Exchange, Market Surveillance and Member Trading Departments.

Mr. Lieberman earned his BA in Philosophy from The City College of New York and his Juris Doctor from American University Washington College of Law. He currently lives with his wife in New Jersey. He is an avid runner, bicyclist, and a Porsche Club High Speed driving instructor.