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## **FOR IMMEDIATE RELEASE**

### **NAIBD TO HOLD TECHNOLOGY AND COMPLIANCE SYMPOSIUM AT NASDAQ MARKETSITE IN NEW YORK**

**Princeton Junction, NJ – August 27, 2008** – The National Association of Independent Broker/Dealers (NAIBD), an advocate for small and independent firms for almost 30 years that aims to improve the regulatory and business environment for broker-dealers, will hold its Technology and Compliance Symposium on October 2, 2008, at NASDAQ MarketSite in New York City.

Attendees will have the opportunity to network with other securities industry professionals through a variety of workshops and panel discussions, and will have the ability to speak with leading securities industry vendors. Guests will also receive a buffet breakfast and lunch.

“As an advocacy organization, we want to provide our members with the best resources and opportunities available in order to succeed in this industry,” said Steve Distanto, President of NAIBD. “It’s very rewarding to see members at the event network with other securities industry professionals and learn how to better serve their clients.”

The event will open with a panel discussion among regulators representing FINRA, SEC and NASAA, and moderated by NAIBD Chairperson Lisa Roth. This “Coffee with the Regulators” is a popular session among NAIBD event attendees, who benefit from the candid, up-to-date input and guidance.

The event will feature keynote speaker Anne Steer, Executive Vice President of Relationship Management for National Financial, a Fidelity Investments® company. During her speech, Anne will share a unique perspective on the current trends among

correspondent broker/dealers and their brokers. She has over two decades of experience in the investment management and financial services industries, including oversight of various global relationship management teams and responsibility for a broad range of sales and marketing functions.

NAIBD members will also learn about pressing issues currently facing the securities industry through the following sessions: “Business Development Practices to Enhance Firm Value and Increase Profitability” moderated by Jeffrey Green, Laserfiche, “How to Recruit the Best Representatives” moderated by Robert Hackel, RF Lafferty, and a CEO panel discussion moderated by Tim Morton, Westland Financial Services, WBB Securities, LLC.

NAIBD will feature the following sponsors at the event: National Financial, platinum sponsor, PriceMetrix Inc., gold sponsor, and Wedbush Morgan Securities, sponsor of attendee carrying bags.

For more information on NAIBD’s 2008 Technology and Compliance Symposium, please visit [www.NAIBD.com](http://www.NAIBD.com).

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**About the National Association for Independent Broker/Dealers (NAIBD)**

Serving as a small and independent firm advocate for almost 30 years, the NAIBD and its board of directors work together with Independent Broker/Dealer owners & principals, industry product & service providers, and the SEC, NASD, and state’s regulatory organizations to improve the securities industry as a whole. Recognizing the specific needs of Independent Broker/Dealers (which make up over 85 percent of all US securities firms), the National Association of Independent Broker/Dealers provides specialized, widely acclaimed advocacy by hosting national informational meetings, catering specialized website programs, rapidly distributing critical regulatory details and product/service offers, supplying feedback to SEC, NASD, and state regulators, and offering its Annual Technology and Compliance Symposium event. For more information, please visit [www.naibd.org](http://www.naibd.org).