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FOR IMMEDIATE RELEASE

NAIBD Spring Symposium to Educate Members on Regulatory Changes

*Keynote Speakers Alfred Monahan and Dan Jamieson
to Discuss Pertinent Industry Issues*

PRINCETON JUNCTION, NJ – May 16, 2011 – The National Association of Independent Broker/Dealers (NAIBD) has announced it will hold its Spring Symposium on June 27, 2011 at the Sheraton Mission Valley San Diego in San Diego, California. Members will learn about recent regulatory changes affecting the industry, how to work effectively with consultants and utilizing technology to grow their businesses.

NAIBD will welcome two dynamic keynote speakers for its event. The first speaker, Alfred Monahan, has over 35 years of specialized experience in the securities industry as a broker, top-level executive, consultant and arbitrator. Monahan currently serves as CEO of Monahan & Roth LLC, a consulting company. He has served as an analyst, consultant and/or expert in more than 350 securities and securities-broker disputes, as well as has testified in more than 70 hearings before NASD, AAA, PSE, NYSE and FINRA arbitration panels. In his presentation, Monahan will discuss the evolution of products and broker/dealer operations.

The second keynote speaker, Dan Jamieson, Senior Editor at *InvestmentNews*, will give his views on the flood of regulations that have been introduced into the broker/dealer community over the past few years. Jamieson has spent 25 years covering the financial services industry, specifically investment advisers, the brokerage industry and regulation. He is well-known among financial advisors for digging into often controversial topics, including regulatory developments, compensation practices, employment issues, product failures, litigation and industry transparency.

“Regulation continues to affect our industry and it is important for us to provide our members with an outlet where they can learn about such issues and how to effectively adapt their business model to meet these changes,” said David Sobel, Chairman of NAIBD.

In addition to these keynote addresses, the Spring Symposium line up will feature the following panels: “The Increased Burden on the Broker/Dealer,” “How to Leverage Technology to Grow Your Business,” “FINRA Regulatory Update” and “How to Work Effectively with Consultants.”

NAIBD was formed in 1979 by broker/dealers who met regularly to discuss areas of common interest such as due diligence, compliance and regulation. The association has

introduced new member programs and a heightened focus on independent broker/dealer advocacy, as applications continue to surge in light of the economic environment.

For more information on NAIBD, please visit www.naibd.org.

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About the National Association of Independent Broker/Dealers (NAIBD)

NAIBD works together with independent broker/dealer owners and principals, industry product and service providers, and the SEC, FINRA and state regulatory organizations to improve the securities industry as a whole. The association recognizes the needs of the industry and its members by hosting national meetings, rapidly distributing critical regulatory details and product/service offers, supplying feedback to SEC, FINRA and state regulators. For more information, please visit www.naibd.org.